Basic Theory and Applications of EPR



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The electron has spin, that it rotates !

"But don't you see what this implies? It means that there is a fourth degree of freedom for the electron. It means that the electron has spin, that it rotates."

- George Uhlenbeck to Samuel Goudsmit in 1925 on hearing of the Pauli principle -



Uhlenbeck Kramer Goudsmit

(1928, Ann Arbor)

The first !



Evgeny Konstantinovich Zavoisky (1907 ~ 1976)



Zavoisky가 1944년에 얻은 최초의 EPR 스펙트럼, Kazan State University J. Phys. USSR, 9, 1945, 221 (시료: CuCl₂.2H₂O, 자장의 세기: 47.6G, 전자기파의 주파수: 133MHz)





The first !



Brebis Bleaney (1915 ~ 2006)





Independently, the first EPR experiments carried out in **Oxford**, in **1946**, showed that resonance could not be detected at room temperature in many paramagnetic substances.Bleaney's first paper on EPR was published in **1948** ("**Paramagnetic Resonance at Low Temperatures in Chrome Alum**" in Proceedings of the Physical Society) with his colleague Roger Penrose and his second paper in **1949** ("**Paramagnetic Resonance in the Copper Tutton Salts**" in Proceedings of the Royal Society) with Penrose and his student Betty Plumpton, who became Bleaney's wife.

There are spins everywhere !

신류락 <u> 화</u> 확 반 승 연대결정 엑사이톤 지리학 무기라디칼 광물학 고생물학 결점 방사선피해 전이금속

고고락 문치화합물 방사선량측정 강자성체 지질락 재료과학 금속함유단백질 유기금속라디칼 고분자 반도체

동물락

생화학 콜로이드 전기화학 범죄과락 유리 의학 현미경 광화학 환경과학 스핀라벨

생물 석탄 EPR 의미질 보석락 역사학 금속화학 유기라디칼 광합성 양자역학 스핀트랩

The spectroscopical techniques !



These are just scratches of modern EPR techniques.

Band	Frequency (GHz)	Resonance Field (G)
L	1.1	390
S	4.0	1,400
Х	9.75	3,500
Q	34.0	12,000
W	94.0	34,000
higher	260	90,000

... higher and higher 1 THz (~ 35T)

The spectroscopical techniques !

- Meaning of CW – - Meaning of pulse – - Meaning of Fourier Transform and Pulse – - No in the nature => Yes in math => Yes in the nature (What a surprise !!) -- Magnetization -- FID – - Spin Echo (Hahn's echo) – - Meaning of imaging -- Why in high field ? -

The tools !

Bruker BioSpin



E680 The FT/CW Spectrometer

e-scan (*X*-*Band*) EMX (X-Band) *E500 The CW Spectrometer (X-Band)* E540 The L-band Imaging Spectrometer E560 The DICE ENDOR/TRIPLE System *E580 The FT/CW Spectrometer (X-Band) E600 The CW Spectrometer for W-Band (94 GHz) E680 The FT/CW Spectrometer (X/W-Band)* Super Q-FT (Q-Band) Super L-FT (L-Band) Super S-FT (S-BAnd) ELDOR Coherent ELDOR Stochastic ENDOR

Bruker BioSpin's 263 GHz Project Pulsed and CW-EPR at Very High-Frequency/High-Field

The tools !





JES-FA300

X-band CW: X-band pulsed: JES-FA100 JES-FA200 JES-FA300 JES-MQ

.. and $(many)^{\infty}$ other individual labs







Electron Pramagnetic Resonance (EPR) Electron Spin Resonance (ESR) Electron Magnetic Resonace (EMR)

 $EPR \sim ESR \sim EMR$



EPR is the resonant absorption of microwave radiation by paramagnetic systems in the presence of an applied magnetic field.





- *h* Planck's constant ($6.626196 \times 10^{-27} \text{ erg.sec}$)
- v frequency (GHz or MHz)
- g g-factor (approximately 2.0)
- β Bohr magneton (9.2741 x 10⁻²¹ erg.Gauss⁻¹)
- $\mathbf{B}_{\mathbf{0}}$ magnetic field (Gauss or mT)





Conventional CW EPR spectrometer Arrangement

EPR Spectrometer - Scheme



- Microwave sources (Klystron, Gunn diode, TWT) – Isolator - Attenuator - Circulator –

EPR Spectrometer - Cavity

Cavities are characterized by their Q or quality factor, which indicates how efficiently the cavity stores microwave energy. As Q increases, the sensitivity of the spectrometer increases. The Q factor is defined as:

$$Q = \frac{2\pi (\text{energy stored})}{\text{energy dissipated per cycle}},$$
 [5-1]

where the energy dissipated per cycle is the amount of energy lost during one microwave period. Energy can be lost to the side walls of the cavity because the microwaves generate electrical currents in the side walls of the cavity which in turn generates heat. We can measure Q factors easily because there is another way of expressing Q:

$$Q = \frac{v_{\rm res}}{\Delta v},$$
 [5-2]

where v_{res} is the resonant frequency of the cavity and Δv is the width at half height of the resonance.





Figure 5-2 Reflected microwave power from a resonant cavity.



Microwave Magnetic Field



Figure 5-3 Magnetic and electric field patterns in a standard EPR cavity.

EPR Spectrotometer – Detection





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- β Bohr magneton (9.2741 x 10⁻²¹ erg.Gauss⁻¹)
- $\mathbf{B}_{\mathbf{0}}$ magnetic field (Gauss or mT)



Bruker EMX EPR spectrometer

"Electron Zeeman Interaction"

$H = \beta \mathbf{S} \cdot \mathbf{g} \cdot \mathbf{H}$

Selection Rule $\Delta M_{\rm S} = \pm 1$



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경북대학교

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- $\mathbf{B}_{\mathbf{0}}$ magnetic field (Gauss or mT)







What is g?

It is an inherent property of a system containing an unpaired spin.

Similar to the *chemical shift* observed in an NMR spectrum.

The g value for a single unpaired electron (*free* electron) has been calculated and experimentally determined. It is 2.0023192778 \pm 0.0000000062 (= g_e). The g value for an S = 1/2 system is usually near g_e , but it is not exactly at g_e . Why not?

This is due to *spin orbit* coupling which determines both the value of *g* and its anisotropy (how far the 3 g values are from g_{av} . The g value can often be calculated and the value is characteristic for a particular spin system.



What is g?









for d⁹, $g_z = g_e [1 + 8 \lambda / \Delta E_1] = g_{\parallel}$ $g_{x,y} = g_e [1 + 2\lambda / \Delta E_2] = g_{\perp}$ λ : spin-orbit coupling constant

$g_z \sim g_e = g_{\parallel}$ $g_{x,y} = g_e [1 + 6\lambda / \Delta E_1] = g_{\perp}$ λ : spin-orbit coupling constant

Powder Patterns of EPR Spectra



Powder Patterns of EPR Spectra



 $g_{eff} = (g_x^2 \sin^2\theta \cos^2\phi + g_y^2 \sin^2\theta \sin^2\phi + g_z^2 \cos^2\theta)^{1/2}$

Powder Patterns of EPR Spectra



Near isotropic

EPR of Ni(I) and Ni(III)



EPR of Cu(*II*) ($S=1/2, d^9$)



Solution EPR





Isotope	Nuclear Spin (1)	% Abundance
$^{1}\mathrm{H}$	1/2	99.9
² H	1	0.02
¹² C	0	98.9
13C	1/2	1.1
^{14}N	1	99.6
15 _N	1/2	0.37
16O	0	99.8
¹⁷ O	5/2	0.037
32S	0	95.0
33S	3/2	0.76
51V	7/2	99.8
55 _{M n}	5/2	100
56Fe	0	91.7
57Fe	1/2	2.19
⁵⁹ Co	7/2	100
⁵⁸ Ni & ⁶⁰ Ni	0	68 & 26
61 _{Ni}	3/2	1.19
63Cu & 65Cu	3/2	69 & 31
⁹⁵ Mo & ⁹⁷ Mo	5/2	16 & 9
183W	1/2	14.4

"Hyperfine Interaction"



 $\Delta M_{S} = \pm 1; \Delta M_{I} = 0$

"Hyperfine Interaction"







So far, we have considered the cases of hyperfine interactions in solutions or in the samples with very narrow g-anisotropy. *How about powder samples?*
Electron spin – Nuclear spin Interaction

⁶¹Ni-enriched

MCRred1 X-Band EPR

For ⁶¹Ni, I = 3/2, so you expect (and see) 4 lines.

But the hyperfine splitting is unresolved in the g_{\perp} direction.



So far, we have considered the cases of hyperfine interactions in solutions or in the samples with very narrow g-anisotropy. How about powder samples?

Electron spin – Nuclear spin Interaction



EPR of Cu(*II*) ($S=1/2, d^9$)



"Hyperfine Interaction"

EPR of Co(II) ($S = 1/2, d^7$)



EPR of Co(II) ($S = 1/2, d^7$)



Redox chemistry of cobalamin and iron-sulfur cofactors in the tetrachloroethene reductase of *Dehalobacter restrictus*

A : spectrum of 4mg/ml enzyme in 25mM Tris-HCl buffer pH 8.0 poised at a redox potetial of -27mV.

B : simulation of A

C : spectrum of enzyme in 125mM ches buffer, pH 9.6, poised at redox potential of -293mV.

D : C-A spectrum

E : simulation of D

FRBS Lett. 409, 421 (1997)

EPR of Co(II) ($S = 3/2, d^7$)



B (mT)

Electron spin – Electron spin Interaction

When there is more than one unpaired electron (S>1/2), the interaction between the spins must be considered. This term can be very large. The Hamiltonian for a system with a spin > 1/2 is: $H = D [S_z^2 - 1/3 S(S+1) + E/D (S_x^2 - S_y^2)] + g_o \beta S H$

The new terms are D and E/D. D is called the *zero-field splitting (ZFS) parameter*; E/D is the *rhombicity* (the ratio between D, the axial splitting parameter, and E, the rhombic splitting parameter, at zero field). The minimum value of E/D is 0 for an axial system. The maximum value is 1/2 for a rhombic system. The strength of the ZFS is determined by the nature of the ligands.

So for a completely axial system (E/D = 0), $H = D [S_z^2 - 1/3 S(S+1)] + g_o \beta S H$

Consider a case where S = 3/2, i.e., 4 unpaired electrons. These spins can interact to give a total spin moment, referred to as a system spin. There will be four sublevels for m_s , where $S_z = -3/2$, -1/2, 1/2, and 3/2.

The energy for the + or -3/2 level will be: D[9/4-1/3(3/2*5/2)] = D[9/4-5/4] = DThe energy for the + or -1/2 level will be: -D.

Electron spin – Electron spin Interaction



The magnitude of the ZFS can be determined by EPR. *The populations of* each of the doublet has a **Boltzmann** distribution. By lowering the *temperature to the* same energy range as the ZFS and by measuring the EPR *amplitude of each* doublet, a value of the ZFS can be obtained.

Or we can measure $\Delta Ms = \pm 1$ transitions, such as $+5/2 \leftrightarrow +3/2$, at higher fields.

EPR of Co(II) ($S = 3/2, d^7$)



[(Co,Zn)(DAPSC)(Cl)H2O]Cl-2H2O.

EPR of Fe(*III*) ($S = 5/2, d^5$)



The magnitude of the ZFS can be determined by EPR. *The populations of* each of the doublet has a **Boltzmann** distribution. By lowering the *temperature to the* same energy range as the ZFS and by measuring the EPR *amplitude of each* doublet, a value of the ZFS can be obtained.

Or we can measure $\Delta Ms = \pm 1$ transitions, such as $+5/2 \leftrightarrow +3/2$, at higher fields.

EPR of Fe(*III*) ($S = 5/2, d^5$)



Fig. 4. EPR spectra of (A) Fe(TDCPP)CI (100 mL, 8.6×10^{-4} mol L⁻¹) in DCE, gain = 8.0×10^{2} ; (B) (A) after the addition of 2.1×10^{-6} mol TBAOH, gain = 2.5×10^{2} ; (C) 0.0759 g of Fe(TDCPP)SG containing 1.1×10^{-6} mol Fe(TDCPP)'/g SG, gain = 1.6×10^{4} (\downarrow extraneous peaks from the support); (SG) pure SG, gain = 1.6×10^{4} . EPR spectrometer conditions: T = 4.5 - 5.5 K, microwave frequency = 9.240 GHz.



Ironporphyria			
Fe(TPP)*	$R_1 = R_2 = R_3 = R_4 =$	Ph	X=
Fe(TDCPP) ⁺		2,6 diCIPh	
Fe(TFPP)+		C6F5	
Fe(TDCPClgP)+		2,6 diCi Ph	

Fig. 1. Iron(III)porphyrins.



Journal of Molecular Catalysis A : Chemical 116, 405 (1997)

EPR of Fe(*III*) ($S = 5/2, d^5$)



schemes for 2.

Fig. 2. ORTEP representation (30% thermal ellipsoids) with atom level

0.13 0.00

C(10) 0.38 0.18

1000 1500

2000 2500

Fig. 5. EPR spectra of [Fe(L2)(TCC)] (2) in CH2Cl2 solution at 77 K.

3000 3500

Inorga. Chim. Acta 360, 2944 (2007)

EPR of Fe(*III*) ($S = 1/2, d^5$)





 $(d_{xz}, d_{yz})^4 (d_{xy})^1$

 $(\mathbf{d}_{xy})^2(\mathbf{d}_{xz},\mathbf{d}_{yz})^3$



for $d^5 (d_{z2}^{-1} d_{xz,yz}^{-4} \text{ ground})$ $g_z \sim g_e = g_{\parallel}$ $g_{x,y} = g_e \left[1 + 6\lambda / \Delta E_1 \right] = g_{\perp}$

for $d^5 (d_{xy}^{-1} d_{xz,yz}^{-4} \text{ ground})$ for $d^5 (d_{xy}^{-2} d_{xz,yz}^{-3} \text{ ground})$ $g_z = g_e [1 - 8 \lambda / \Delta E_1] = g_{\parallel}$ $g_z = g_e [1 + 8 \lambda / \Delta E_1] = g_{\parallel}$ $g_{x,y} = g_e \left[1 + 2\lambda / \Delta E_2\right] = g_{\perp}$

 $g_{x,v} = g_e [1 - 2\lambda / \Delta E_2] = g_{\perp}$

EPR of Fe(III) ($S = 1/2, d^5$)



EPR of Fe(*III*) ($S = 1/2, d^5$)



EPR of Mn(II) ($S = 5/2, d^5$)



EPR of Mn(II) ($S = 5/2, d^5$)





⁵⁵Mn (100%), I=5/2

EPR of Mn(II) ($S = 1/2, d^5$)



Fig. 1 EPR spectra of [Mn(L1)2] in (a) 11 dichloromethane toluene solution at 300 K, (b) frozen 11 dichloromethane toluene solution at 77 K, showing computed splittings of t2 orbitals. DPPH = Diphenylpicrylhydrazyl.



J. Chem. Soc., Dalton Trans. 1703–1708 (2000)

EPR of V(IV) ($S = 1/2, d^1$)





 51 V (99.8 %), I = 7/2 small g anisotroy, big nuclear hyperfine



Dalton trans. 797-803 (2005)

EPR of FeMo-co (S = 3/2, 1/2, d^{43})



EPR of FeS-clusters

in



Figure 1. Q-band CW EPR spectra of *Pf*-Fd: (A) *Pf*-Fd 3Fe-ox, (B) *Pf*-Fd 4Fe-red, (C) *Pf*-Fd 4Fe–CN. *Experimental conditions*: (A) temperature, 2 K; microwave frequency, 34.987 GHz; microwave power, 20 μ W (40 dBm); 100 kHz field modulation amplitude, 0.13 mT; time constant, 32 ms; (B) as in (A) except: microwave frequency, 35.040 GHz; (C) as in (A) except: microwave frequency, 34.905 GHz; microwave power, 2 μ W (50 dBm). The canonical *g* values are indicated for each spectrum, where determinable.

Interactions measured by EPR

 $H = \beta \mathbf{S} \cdot \mathbf{g} \cdot \mathbf{H} + \mathbf{S} \cdot \mathbf{A} \cdot \mathbf{I} + \mathbf{D} [\mathbf{S}_{z}^{2} \cdot 1/3 \mathbf{S} (\mathbf{S}+1) + \mathbf{E}/\mathbf{D} (\mathbf{S}_{x}^{2} \cdot \mathbf{S}_{v}^{2})]$

Electron Zeeman interaction (interaction of the spin with the applied field) Spin orbit coupling

Hyperfine and superhyperfine interactions (electron spin-nuclear spin interaction)

Spin-spin interaction

* Nuclear quadrupole interation can also be detacted.

High sensitivity (<1 µM to 0.1 mM)
No background
Definitive and Quantitative

Electron spin – Nuclear spin Interaction

Phenalenyl radical







EPR spectrum:

200 p. 20

100

1000





 $H = \beta \mathbf{S} \cdot \mathbf{g} \cdot \mathbf{H} + \mathbf{g}_n \beta_n \mathbf{I} \cdot \mathbf{H} + \mathbf{S} \cdot \mathbf{A} \cdot \mathbf{I}$





 $H = \beta \mathbf{S} \cdot \mathbf{g} \cdot \mathbf{H} + \mathbf{g}_n \beta_n \mathbf{I} \cdot \mathbf{H} + \mathbf{S} \cdot \mathbf{A} \cdot \mathbf{I}$



 $H = \beta \mathbf{S} \cdot \mathbf{g} \cdot \mathbf{H} + g_n \beta_n \mathbf{I} \cdot \mathbf{H} + \mathbf{S} \cdot \mathbf{A} \cdot \mathbf{I}$







Applications – Metalloenzymes (Ni-SOD)





Applications – Metalloenzymes (Ni-SOD)








Applications – Metalloenzymes (N_2 ase)



Applications – Metalloenzymes (H_2 ase)

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Lubitz et al.



Figure 1. (a) Structure of [NiFe] hydrogenase from *D. gigas* (PDB 1FRV) from ref 63 detailing the two protein subunits (small, blue; large, green), the electron-transfer chain with three Fe–S centers in the small subunit, and the active site in the large subunit. The structure of the active site is shown enlarged at the bottom (see text). The arrow indicates the sixth coordination site at Ni which is found to be unoccupied. The enzyme resided mainly in the unready state. (b) Structure of [FeFe] hydrogenase from *D. desulfuricans* (PDB 1HFE) from ref 61, detailing the two protein subunits (small, blue; large, green). The H-cluster (hydrogen-activating cluster) and the two additional [4Fe-4S] clusters are all located in the large subunit. The molecular structure of the H-cluster with the cubane $[4Fe-4S]_H$ and the dinuclear $[2Fe]_H$ subclusters is shown enlarged at the bottom. The arrow indicates the free coordination site at the distal iron Fed found in ref 61.

Applications – Metalloenzymes (H_2 ase)







Applications – Metalloenzymes (H_2 ase)



Fig. 3 EPR spectra of a partially formate-reduced state of *D*. gigas FDH at 20 K (*i*) and at 10 K (*v*), together with the spectral simulation of signal I (*ii*), signal II (*iii*), and the sum of signals I and II in a ratio 1:1 (*iv*). Also shown is the difference spectrum between 20 K and 10 K (*vi*). Experimental conditions were: (*i*) temperature, 20 K; microwave power, 0.2 mW; modulation amplitude, 4 G_{pp}; microwave frequency, 9.49 GHz; (*ii*) as in (*i*) except temperature, 10 K; and microwave power, 0.2 mW. Simulations were performed with the parameters: [g_{1} =1.892 (30), g_{2} =1.947 (15), g_{3} =2.049 (14)] (*ii*), and [g_{1} =1.885 (32), g_{2} =1.924 (26), g_{3} =2.064 (20)] (*iii*) (line width between parentheses). Signal (*i*) was scaled to the experimental conditions of signal (*v*) in order to obtain signal (*vi*)

Formate dehydrogenase

W-FDH

Mo(V)-FDH



Panel B

Panel A



FIG. 2. EPR spectra of *Methylobacterium* sp. RXM FDH. Panel A - X-Band EPR spectra of the FDH dithionite reduced. Temperature dependence of the spectra i)10K, ii)15K, iii)25K, iv)45K and v)100K. Panel B - Detail of the X-band EPR spectrum of Mo(V) species at 100K. A simulation of the spectral data is included (g-values (linewidth, mT):2.002 (1.14), 1.987 (1.32), 1.959 (1.22)). Experimental conditions: Modulation Amplitude, 1.0 mT; Microwave frequency, 9.49 GHz; Microwave Power, 2.35 mW.

Applications – Trapping Free Radicals

Spin-trapping

Species: superoxide, hydroxyl, alkyl, NO

Spin-trapping agents: DMPO, PBN, DEPMPO, Fe-DTCs



 $A_{\rm N} = 1.42 \text{ mT}, A_{\rm H}{}^{\beta}$, = 1.134 mT, and $A_{\rm H}{}^{\gamma} = 0.125 \text{ mT}.$

Effect of Hydrated Electron (e_{aq})

Time scale (s)



Spin Trapping



EPR of PBN-OH

EPR of DMPO-OH

Spin Trapping



Detection of `H Generated by e-Beam



Applications – Distance measurement



JMR, 169, 2004, 1-12

Applications – Radiation Dosimetry

Alanine dosimetry



Alanine forms a very stable free radical when subjected to ionizing radiation, such as gamma-ray, e-beam, and Xray.

Applications – EPR Imaging

Oximetry



Bimolecular collision between SL and oxygen leads to Heisenberg spin exchange

Applications – EPR Imaging

Oximetry

L-band, 3-CP probe 3-D spectral-spatial

Mapping of arterio-venous oxygenation in a rat tail, in Vivo



Sendhil Velan, S., Spencer, R.G.S., Zweier, J. L. & Kuppusamy P. Magn. Reson. Med. 43, 804-809 (2000)

Applications – Minerals



EPR, optical, infrared and Raman spe

N.O. Gopal, K.V. Naras Department of Physics, Sri Venkateswara Received 3 October 2003; accep

Available online at www.sci

Spectrochimica Acta Part A 60 (

science d dif

1. Introduction

Natural minerals have been sorption spectroscopic investiga chemical compositions. Genera sition ions such as Fe³⁺, Cr³⁺ natural minerals. Electron para optical absorption, infrared and minerals provide useful inform istry of transition metal ions that are present only in small a site), EPR is the most powerful ous information about the valer EPR studies have been perforn [7-12]. $\sim -\infty$



he EPR spectra of polycrystalline Actinolite mineral observed at temperatures for the resonance signal at g = 2.0.

20

Applications – Minerals

Radiation Effects & Defects in Solids March 2004, Vol. 159, pp. 141–147



OPTICAL AND EPR INVESTIGATIONS ON SMITHSONITE MINERALS

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1 INTRODUCTION

The mineral smithsonite has the chemical formula $ZnCO_3$ It belongs to the trigonal crystal system with the $D_{3d}^5 - R\bar{3}c$ group. It is isostructural with the calcite type with the unit cell parameters a = 0.466 nm, c = 1.498 nm, $\alpha = 1.625$, $\gamma = 1.850$ and Z = 6 [1]. The Zn(II) ions are accordingly in the octabedral coordination of oxygen ions, belonging to the arecess of the triangular anions $[CO_3]^{2-}$ as in the analogous crystals [2]. Transition metal ions such as Cu(II), Mn(II), Fe(II), Co(II) may easily substitute Zn(II). Smithsonite is used as bio-mineral, because it is very effective to eliminate toxins from the body [3] and it is also used as flotation mineral [4]. It has wide applications in the field of microwaves [5]. The present investigations are anned at naving a comprehensive view of the effect of the naturally occurring mineral smithsonite, on from Graphic Mines, Kelly, New Mexico, USA (Sample No. BM 81038) and another from the westerninope Mine, wearclate, Durnam County, UK (Sample No. KV 5/1080) are chosen for the study.

5 CONCLUSIONS

From the optical absorption spectra, the main impurity present in the two samples is attributed to a Cu(II) ion in the tetragonally distorted octahedral site suggesting the isomorphous replacement of Cu(II) for Zn(II) in smithsonite. EPR spectra of Cu(II) in these samples support the same conclusion. Further EPR spectra of the samples indicate the presence of Mn(II) in traces. However, the concentration of Mn(II) is too small to exhibit any optical absorption bands.



FIGURE 3 EPR spectrum of the USA sample at room temperature. The arrow indicates DPPH signal as a standard. The multiple transitions are designated by n-e.



FIGURE 4 EPR spectra of the UK sample at room temperature (a) and 77K (b). The arrow indicates the DPPH signal as a standard.

Applications – Minerals

Electron Paramagnetic Resonance of Rhyolite and γ -Irradiated Trona Minerals

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Z. Naturforsch. 58a, 293-298 (2003); received January 2, 2003

1. Introduction

Various kinds of stones, geothermal samples and lava systems have been investigated by electron paramagnetic resonance (EPR) [1,2]. The samples studied were either natural state or in their γ -irradiated [3 – 14]. These studies revealed the presence of various kinds of sulphur oxy and carboxy radicals in these substances. Around the Ercives mountain in inner Anatolia, and its neighbouring province Nevşehir, the mostly encountered remnants are various kinds of stones or tuffs. One of these stones is rhyolite, locally named Yellow Stone of Nevşehir. It was investigated in this study. We expected to detect paramagnetic centers in rhyolite due to the thermal effects of the close mountain Ercives, which was active earlier. Due to it is use in house constraction, this stone is important in that region. To our knowledge there exists no investigation of this mineral with EPR. Also trona, which is widely used in soda and glass production, seemed interesting to study with EPR. Rhyolite and trona were first studied in their natural states, and then their γ -irradiated states were considered. Before γ -irradiation the results indicated the



Applications – Minerals

Electron Paramagnetic Resonance of Rhyolite and γ -Irradiated Trona Minerals

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Applications – Minerals

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1. Introduction

Var Rhyolite from the "Yellow Stone of Nevşehir" and γ -irradiated trona from the Ankara Mine have lava s been investigated by electron paramagnetic resonance at ambient temperature and at 113 K. Rhyolite magne was examined by X-ray powder diffraction and found to consist mainly of SiO₂. Before γ -irradiation, were e These the existing paramagnetic species in rhyolite were identified as \dot{PO}_4^2 , \dot{CH}_2OH , \dot{CO}_2^- , \dot{SO}_2^- , \dot{CO}_3^3 sulphu and $\dot{C}O_2^-$ free radicals and Fe^{3+} at ambient temperature. At 113 K $\dot{S}O_2^-$, $\dot{C}O_3^{3-}$, and $\dot{C}O_2^-$ radicals Aroun neight and Fe³⁺ were observed. The γ -irradiation produced neither new species nor detectable effects on tered r these free radicals. The disappearance of some of the radicals at 113 K is attributed to the freezing of of thes their motions. Before γ -irradiation, the trona mineral shows only Mn²⁺ lines, but after γ -irradiation of Ne pected it indicated the inducement of CO_2^{3-} and CO_2^- radicals at ambient temperature, 113 K, in addition to to the lines. The g and a values of the species were determined. which the Mn²⁺ straction, uns stone is important in that region. To our knowledge there exists no investigation of this mineral

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EPR in Semiconductors



Paramagnetic Centers in Si

Defects (radiation damages, vacancies, dangling bonds), impurities, and small aggregates cause paramagnetic centers.

Triclinic system. (a) Atomic model boron-vacancy complex in silicon, pointgroup 1. (b) Principal axes of the triclinic **g**-tensor. (c) Rotation pattern spectrum Si-G10, **B** in the $(0\overline{1}1)$ -plane.



Paramagnetic Centers in Si

Defects (radiation damages, vacancies, dangling bonds), impurities, and small aggregates cause paramagnetic centers.



Monoclinic-II system. (a) Atomic model di-interstitial in silicon,

Paramagnetic Centers in Si

Defects (radiation damages, vacancies, dangling bonds), impurities, and small aggregates cause paramagnetic centers.



Tetragonal system (a) Atomic model positive vacancy in silicon

More than 410 paramagnetic centers in Si have been reported.

PHYSICAL REVIEW B

VOLUME 40, NUMBER 14

15 NOVEMBER 1989-I

Microscopic nature of coordination defects in amorphous silicon

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> David K. Biegelsen Xerox Corporation, Palo Alto Research Center, Palo Alto, California 94304 (Received 9 June 1989)

²⁹Si spectra of the g=2.0055 spin resonance in undoped hydrogenated amorphous silicon containing the natural abundance of the ²⁹Si isotope have been measured with a sufficient signal-to-noise ratio to allow a quantitative modeling of the underlying hyperfine and g tensors. These experimental results are used to discuss the microscopic origin of the main deep lectronic defect state in *a*-Si.

FIG. 1. Experimental (solid curve) and theoretical (dotted curve) line shape of the g = 2.0055 spin resonance signal in undoped *a*-Si:H. (b) shows the underlying powder pattern of the *g* tensor, with principal values $g_{\parallel} = 2.004$ and $g_{\perp} = 2.008$. W_{\parallel} and W_{\perp} are the half-widths at half maximum of the Gaussian broadening functions used in the line-shape simulation.





2.0055 (DB)





TABLE I. Proposed properties of dangling and floating bonds in amorphous silicon.

	Dangling bond	Floating bond	
Crystalline analog	Si vacancy	Si interstitial	
Network mobility	low	high	
Occurrence in	low-density regions	high-density region	
Chemical notation	Si ⁰ ₍₃₎	Si ⁰ ₍₅₎	
Charge	0	0	
Coordination number	3	5	
Orbital type	Atomic orbital (Si sp ³)	Molecular orbital	
Localization	strong	weak	
Energy level	midgap	midgap	
Symmetry	trigonal	?	

PHYSICAL REVIEW B

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MAGNETIC FIELD ------
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PHYSICAL REVIEW B

VOLUME 59, NUMBER 7

15 FEBRUARY 1999-I

Electron-spin-resonance center of dangling bonds in undoped a-Si:H







FIG. 5. Echo-detected ESR spectra of ²⁹Si-enriched undoped *a*-Si:H with $N_s = 1.3 \times 10^{18}$ cm⁻³ at frequencies of 8.2 and 11, GHz (solid lines) and corresponding simulated spectra (dashed lines). The best-fit hf parameters are also indicated in the figure.

PHYSICAL REV	IEW B Electron-spin 34 GHz	TABLE II works. N and isotropic ²⁹ Si NN (the near parameters ar	I. ESR parameters d N_{back} represent a hf interaction, responsest-neighbor sites, e defined in the tex	of the 2.0055 cent number of Si aton ectively. For atoms i.e., backbond sites t. Values in bracket	er and ms acco counted s) or N ts were	the P_b center companied with d into N_{back} , NN (the next given by ass	r reported l th the large their positio -nearest-nei umptions.	by ESR and st and the 1 ns are referr ghbor sites).	theoretical next largest ed to either . The other	.% imental ated
(s		Ref.	g_{\parallel}	g⊥	Ν	$egin{array}{c} A_{ m iso} \ (m mT) \end{array}$	$egin{aligned} A_{ m aniso} \ ({ m mT}) \end{aligned}$	N _{back} & position	$egin{aligned} A_{\mathrm{iso-pact}} \ \mathrm{(mT)} \end{aligned}$	
unit		The 2.0055 center in undoped a-Si:H								
(arb	9.7 GHz	(ESR, etc.)								
ESR signal intensity		Present	2.0037-2.0042	2.0060 - 2.0067	(1)	6.9-7.9	1.8 - 2.5	(3)	1-2	
		Refs. 8, 9	2.0038-2.0042	2.0076-2.0084	(1)	7.0-7.5	1.5 - 2.0	(3)	2-3	
		Ref. 11 ^a				6.9–7.3			2.4-2.8	
		(Theory)			1	10.1	10.20		1.5	
	6.5 GHz	ReI. 18 Def 19 ^c			2	60.80	1.8-2.9	3 INININ 2 NINI	1.5	↓ →
		Ref. 33 ^d	2.0023	2.0037-2.0049	2	0.0-8.9	0.3-0.5	2 1111	5.2-4.8	
		The P_b center at Si(111)-SiO ₂ interface								
		(ESR)								
	3.0 GHz	Refs. 6, 7 ^e	2.0011-2.0019	2.0080-2.0093	(1)	11	2.2		1.3	
		(Theory)								
		Ref. 34 ^r			1	15.2	2.1	3 NNN	1.0	
		^a Measured by ENDOR.								
		^b Calculated for	or the DB center in	a relaxed <i>a</i> -Si ₁₁₀ c	luster.					
		^c Calculated fo	or the FB center in	relaxed a-Si ₈₁₋₈₆ cl	lusters.					
FIG	Cw-FS	^d Calculated fo	or the DB center in	relaxed a-Si ₁₀₋₂₂ H	15-27 cl	usters.				thed undoped
$=9.4 \times 1$	0^{17} cm^{-3}	^e Converted by	A_{iso}, A_{aniso} (mT):	$= 0.1068 \times A_{iso}, A_{ar}$	₁₁₅₀ (10	-4 cm^{-1}).	•.• •			and 11, GHz
indicate e	xperiment	Calculated fo	or the DB center in	an unrelaxed S122H	₂₁ /S1 ₆ O	18H6 cluster	with spin po	larization.		dashed lines).

fit g parameters are also indicated in the figure.

The best-fit hf parameters are also indicated in the figure.

Appl. Phys. Lett., Vol. 63, No. 12, 20 September 1993

Electron spin resonance study of the dangling bond in amorphous Si and porous Si

T. J. McMahon and Y. Xiao^{a)} National Renewable Energy Laboratory, Golden, Colorado 80401

(Received 1 June 1993; accepted for publication 19 July 1993)

We compare the electron spin resonance (ESR) signal of the dangling bond in porous silicon (PS) layers, produced by electrochemical etching, to the ESR signal from hydrogenated amorphous Si(*a*-Si:H) films. The anisotropy of the ESR signal from PS showed *g* values varying as for the P_b Si/SiO₂ interface dangling bond. The *g* value varies from $g_{\parallel} = 2.0020$ to $g_{\perp} = 2.0080$ with an inhomogeneously broadened line width increasing from 1.8 to 3.8 G. An ESR powder line, with superhyperfine and strain broadening intrinsic to PS, has more anisotropy in $g_{\parallel} -g_{\perp}$ and less inhomogeneous broadening than does the dangling bond line in *a*-Si:H. No evidence was seen for light-induced metastability on a H-passivated PS film.





FIG. 2. ESR absorption data of a PS film on a substrate rotated by 7.5° angle increments about the (110) axis, starting at (100).

FIG. 4. ESR derivative spectra for a PS powder and *a*-Si:H from Stutzmann and Biegelson (Ref. 6).

e^{-} in CBT and h^{+} in VBT

PHYSICAL REVIEW B

VOLUME 38, NUMBER 4

1 AUGUST 1988

Nature of paramagnetic centers in a-Si and a-Si:H

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A comparative ESR study has been undertaken of various kinds of a-Si and a-Si:H. Experiments on sputtered samples reveal that the local configuration of the usual dangling-bond (DB) centers $(g \approx 2.0055)$ depends on the details of deposition. The g value may gradually be reduced to values as low as 2.0041 as a result of local-structure change. Regarding the g = 2.004 signal originated from conduction-band-tail (CBT) states, it is shown that the effective correlation energy (U_{af}) is large and the temperature dependence of its spin density is due to the presence of donor levels—not due to a small- U_{af} effect as has previously been concluded. Related to this, ample evidence is provided, indicating that the g = 2.004 signal in P-doped a-Si:H and the g = 2.005 DB line are in fact variants of the same kind of defect. Systematic analysis identifies the g = 2.004 CBT states signal with $T_3^ T_3^+ + e^-$ defect centers thus showing that a great deal of the CBT states are defect states rather than disorder-induced localized states. Regarding the g = 2.013 signal in a-Si:H, evidence is provided showing that this cannot be associated with valence-band-tail states. Rather it concerns defects positioned at ≈ 0.6 eV above the valence-band mobility edge, whose nature is as yet to be determined. Their presence relates directly or indirectly to H incorporation.



FIG. 1. K-band (20.9 GHz) absorption-derivative ESR spectrum (solid line) measured at 77 K with $P_{\mu} = -2.4$ dBm on P-doped a-Si:H glow-discharge deposited in a PH₃ (1 vol %)/SiH₄ (99 vol %) ambient. The dashed and dotted lines represent computer-calculated Lorentzian and Gaussian line shapes, respectively, scaled to the experimental curve at the peak positions.



FIG. 3. K band absorption-derivative ESR spectrum measured at 295 K with $P_{\mu}=0$ dBm on slightly B-doped *a*-Si:H ($E_a=0.87$ eV). From the three-times-expanded curve, the presence of the broad g=2.013 signal is clearly recognized. This signal may be computer simulated by a symmetric linewidth l=1.8.

Analyses of Si by EPR

5045 J. Appl. Phys. 64 (10), 15 November 1988

Surface and bulk defects in hydrogenated amorphous silicon and siliconbased alloy films

Tatsuo Shimizu, Xixiang Xu,^{a)} Hideo Kidoh, Akiharu Morimoto, and Minoru Kumeda Department of Electronics, Faculty of Technology, Kanazawa University, Kanazawa 920, Japan

(Received 24 February 1988; accepted for publication 28 July 1988)

The surface and bulk defects in *a*-Si:H, *a*-Si_{1-x} C_x:H, and *a*-Si_{1-x} N_x:H films were investigated by electron spin resonance (ESR) and constant photocurrent method. The thickness of the surface defective layer in *a*-Si:H films was determined for the first time by ESR. Applying various surface treatments, the free-surface layer proved to be much more defective than the interface layer. Both surface and bulk defect densities in *a*-Si_{1-x} C_x:H and *a*-Si_{1-x} N_x:H alloy films were also determined by ESR. The origin of the surface states is discussed, and the experimental results are explained by a simplified model of the distribution of surface defects.



FIG. 1. Thickness dependence of spin densities per area N_{sa} : The circles represent N_{sa} determined by ESR, open ones signify those measured after HF etching, and the diamonds represent those deduced by CPM.



FIG. 3. Spin densities per area N_{s_1} measured by ESR for *a*-Si_{0.82}C_{0.18}:H alloy films vs thicknesses. The straight line is a visual guide.

Analyses of Si by EPR

PHYSICAL REVIEW B

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15 JUNE 1987-II

Thermally and optically induced metastabilities in doped hydrogenated amorphous silicon: ESR studies

M. Stutzmann* Xerox Corporation, Palo Alto Research Center, Palo Alto, California 94304 (Received 23 October 1986)

Equilibrium and light-induced electron-spin resonance (ESR and LESR) are used to investig the effects of rapid thermal quenching and light soaking on the electronic density of states in do hydrogenated amorphous silicon. Thermal quenching is found to lead to a strong, metastable crease in the density of shallow states. Light soaking of phosphorus-doped *a*-Si:H causes a reve ble increase of occupied neutral-donor levels together with a decrease of electrons in conducti band-tail states. In contrast, *n*-type material doped with arsenic does not show any light-soaking fect. Possible microscopic mechanisms for the observed metastable changes are discussed, and difference between phosphorus- and arsenic-doped *a*-Si:H is explained by changes in the elect trapping behavior deduced from LESR experiments.



FIG. 7. ESR (dashed curves) and LESR spectra (solid curves) in compensated *a*-Si:H ($[PH_3] = [B_2H_6] = 1\%$). VB, DB, and $P_{(4)}^0$ are resonances due to holes in the valence-band tail, neutral dangling bonds, and neutral phosphorus donors. Only the high-field resonance of the $P_{(4)}^0$ hyperfine pair is shown.



FIG. 1. ESR spin density of neutral donors $(\mathbf{P}_{(4)}^{0})$ and conduction-band-tail states (g=2.0044) for various quenching, light-soaking, and annealing treatments in *a*-Si:H doped with 1 vol % PH₃ in the gas phase.

Staebler Wronski Effect

Analyses of Si by EPR

ELSEVIER

Journal of Non-Crystalline Solids 266-269 (2000) 1-22

www.elsevier.com/locate/jnoncrysol

Part I. Amorphous and microcrystalline silicon, germanium and carbon The Mott Lecture

Spin-dependent processes in amorphous and microcrystalline silicon: a survey

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Walter Schottky Institut, Technische Universität München, Am Coulombwall, 85748 Garching, Germany

Abstract

Basic concepts of spin-dependent recombination and transport as well as applications in disordered Si-based semiconductors are reviewed. The magnitude of spin-dependent changes in conductivity or luminescence is outlined following the ideas developed by Lepine and Kaplan, Solomon, and Mott. Undoped a-Si:H serves as a model system for the discussion of recombination mechanisms in disordered semiconductors, in particular distant electron-hole pair recombination, recombination via excitonic pairs (spin triplets), and via dangling bond defects. Electrical detection of the discussion of







Fig. 7. Dependence of the spin-dependent photoconductivity resonance lineshape on optical excitation energy for undoped a-Si:H at 165 K in the constant photocurrent mode ($\sigma = \text{const}$, $\Delta\sigma/\sigma = \text{const}$). The lower part of the figure shows the absorption coefficient of the sample determined from photothermal deflection spectroscopy (PDS) and the constant photocurrent method (CPM).

Analyses of Si by EPR

ELSEVIER Journal of Non-Crystalline Solids 266–269 (2000) 1–22 www.elsevier.com/locate/jnoncrysol						
Part I. Amorphous and microcrystalline silicon, germanium and carbon		Magnetic Field (G)				
The Mott Lecture	328	0	3330	3380		
Spin-dependent processes in amorphous and microcrystalline silicon: a survey	units)	a-Si:H 165 K	A =6·10	$\sigma = \text{const.}$ $\Omega^{-8}(\Omega \text{ cm})^{-1}$		
Martin Stutzmann *, Martin S. Brandt, Martin W. Bayerl	, Tb	CPM-mode				

Table 2

Spin dependent transitions in hydrogenated amorphous silicon. e: electron in conduction band tail, h: hole in valence band tail, db: dangling bond

g-value	Linewith (G)	Hyperfine splitting (G)	Technique	Interpretation	Refs.
2.0050 (150 K)	≈ 10	_	EDMR, ODMR	Transition between e and neutral db	[20,21,32-36,42,44]
2.0055 (330 K)					
2.006	≈ 7	_	EDMR	Hopping in db defect band	[31]
2.009-2.01	≈ 20	_	EDMR	Hopping of h in VB tail	[21,33-37]
2.007 - 2.008	≈ 10	_	EDMR	e-h transition	[34-36]
2.0040-2.0044	≈ 7	_	EDMR	Hopping of e in CB tail	[16,34-36]
2.003	≈65	250-260	EDMR, ODMR	Hopping in P_4^0 (neutral P-donor) band, P_4^0 -db transition, P_4^0 -h transition	[34–36,38]
2.003	≈ 30	125	EDMR, ODMR	P ₄ ⁰ -donor bound exciton	[34,35,38]
2.008 and \approx 4.01	≈210	_	EDMR, ODMR	Exchange coupled e-h pair, triplet- exciton ($\Delta m_s = \pm 1$ and $\Delta m_s = \pm 2$)	[34-36,38-43]
2.0078-2.0085	≈ 20	_	ODMR	Exchange coupled e-h pair	[42-44]
2.004 and 2.011	≈ 10 and 20	_	ODMR	Distant e-h pair, Auger recombination	[42,44]
2.0065	≈ 16	_	ODMR	db-h transition	[42,43,45]
2.011-2.013	≈ 20	_	ODMR	h in VB tail	[42-45]

Other EPRs of Semiconductors



(c) PL-EPR spectrum of the GaN 'lift-off' layer, recorded at 1.5 K in W-band. The PL was excited at 280 nm and measured

with a 380 nm edge filter.

Fig. 1. EPR spectra of CuGaSe₂ powder at 5 K. The top spectrum is the measured one, while the middle spectrum has been obtained from experiment by subtracting the C signal. The bottom spectrum gives the simulation of a S = 1/2 centre with *g*-tensor components as indicated.

Other EPRs of Semiconductors

619 ELSEVIER

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www.elsevier.com/locate/physb

Neutral and negatively charged silicon vacancies in neutron irradiated SiC: a high-field electron paramagnetic resonance study

P.G. Baranov^a, E.N. Mokhov^a, S.B. Orlinskii^{b,*}, J. Schmidt^b



Fig.1. Angular dependences of the 95 GHz EPR spectra observed in n-irradiated 4H-SiC (1018 cm⁻²) at 190 K (a) and 1.4 K (b). The central line is related to V_{si} whereas the outer, orientation-dependent lines are caused by the triplet state of V_{Si}^0 .

PHYSICAL REVIEW B 70, 235212 (2004)

EPR and theoretical studies of positively charged carbon vacancy in 4H-SiC

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N. T. Son and E. Janzén



FIG. 5. (Color online) Super HF structures for EI5 (C_{1b}) measured by echo-detected EPR at 9.665 GHz. We have identified their origins (Si or C) by pulsed ENDOR. Also shown in the figure is the number of atoms corresponding to each super HF line (N), which was deduced from a relative intensity of the HF line.


There are spins everywhere.

